



**Social Workers
Registration Board**
Kāhui Whakamana Tauwhiro

annual report
2005-2006

For the year ended 30 June 2006

Presented to the House of Representatives in
accordance with section 44a of the Public Finance Act 1989



Social Workers
Registration Board
Kāhui Whakamana Tauwhiro

MINISTER FOR SOCIAL DEVELOPMENT AND EMPLOYMENT

In accordance with section 107 of the Social Workers Registration Act 2003, I submit the annual report of the Social Workers Registration Board for the year ended 30 June 2006.

Robyn Corrigan
Chairperson
Social Workers Registration Board

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part 1

about the
social workers
registration board

The Social Workers Registration Board

The Social Workers Registration Act 2003 (the Act) provides the framework for the registration of social workers.

A major purpose of the Social Workers Registration Act 2003 is:

To protect the safety of members of the public, by prescribing or providing for mechanisms to ensure that social workers are -

- competent to practise; and
- accountable for the way in which they practise.

The Social Workers Registration Board (SWRB) was established under this Act, in November 2003. The SWRB, a Crown agent under the Crown Entities Act 2004, has the responsibility to organise the registration process, consider and take disciplinary actions relating to registered social workers, encourage registration and act to enhance the professionalism of social workers.

The Social Workers Registration Board's Functions

The functions of the Board cover four distinct areas:

- the registration of social workers;
- consideration of complaints about registered social workers;
- promotion of the benefits of registration of social workers;
- to enhance the professionalism of social workers.

The detailed description of the Board's functions is set out in Section 99 of the Act. In summary they are to:

- receive and consider applications for registration and practising certificates, authorise the registration of social workers and maintain the Register;
- if it thinks fit, to adopt conditions subject to which all practising certificates, or the practising certificates of all registered social workers of a particular description, must be issued;
- to promote and encourage high standards of practise and professional conduct among registered social workers and the employers of social workers;
- to establish and maintain a code of conduct for registered social workers, review the competence of social workers and to consider the cases of registered social workers who may be unable to perform adequately the functions required to practise social work satisfactorily;
- in consultation with providers of social work education and training in New Zealand and bodies that set standards for social work education and training in New Zealand, to promote and set standards for such education and training, and recognise New Zealand educational qualifications for the purposes of the Act;

- to promote the benefits of registration and the establishment of accessible and efficient procedures for making, considering, and determining complaints relating to social workers;
- to advise, and make recommendations to, the responsible Minister in respect of matters relating to the regulation of the social work profession;
- subject to the Act, to disclose to the employers or prospective employers of social workers information it has obtained under this Act relating to people who are or have been applicants for registration or registered social workers;
- any other functions conferred or imposed on it by or under the Act or any other enactment.

Goals, Outcomes and Objectives

The government is the major purchaser of social work services and has a significant interest in social worker quality and professionalism. In recognition of its status as a Crown agent and its national role the Board has a stake in supporting the government's social objectives to increase economic growth, reduce inequality and improve the social and economic wellbeing of New Zealanders.

The Social Workers Registration Board has adopted the following outcome framework to guide its work.

Outcome Framework

Outcome 1 – Registration

The large majority of social workers are registered by the Social Workers Registration Board. Competence and educational standards of social workers are improved and only those people who are assessed as fit to practise social work are registered.

Outcome 2 – Complaints

The general public and key stakeholders are aware of the Social Workers Registration Board's complaints resolution processes and complaints made against social workers are dealt with without undue delay. If needed, social workers are disciplined appropriately and transparently.

Outcome 3 – Promotion of Registration and Enhance the Professionalism of Social Workers

All key stakeholders, including social workers are aware of the benefits of registration. The number of registered social workers continues to grow and employers are increasingly employing registered social workers. The reputation of the social work profession is enhanced and recognised by the public and key stakeholders. Professional standards improve and with higher standards come higher quality social work with greater benefits for New Zealanders.



part 2

governance

Social Workers Registration Board – Board Members 2005-2006



2005-2006 Board Members

Yvonne Crichton-Hill, Buster Curson, Robyn Corrigan (Chairperson), Liz Beddoe, John Dunlop, Jan Duke, Paul Curry, Ian Calder, Shannon Pakura and Sonya Hunt. (Left to right)

Buster Curson

Buster Curson is in private practice and works as a clinical social worker and social services consultant. He is a registered social worker and comes from the Manawatu. He has held roles in health and the voluntary and statutory sectors. Buster is a former President of the ANZASW (1993 - 1996) and served on the International Executive of the International Federation of Social Workers (1996 – 2002). He remains actively involved with ANZASW, the IFSW, and the newly formed Commonwealth Organisation for Social Work. He has a wide range of interests in the social services sector.

Elizabeth Beddoe

Liz Beddoe has wide experience in social work education and the social work profession. Liz has a deep understanding of registration and education issues both historically and contemporarily. She holds a senior role in social work education as Head of Social Work and Human Services programmes in the Faculty of Education,

at the University of Auckland and is a life member of the Aotearoa New Zealand Association of Social Workers (ANZASW).

John Dunlop

John Dunlop is a registered social worker and has many years of experience in not for profit organisations, government agencies and private practice. John was National Assessor for the ANZASW Board of Competency (1990 – 1996). He has been a member of a school board and has assisted several voluntary agencies to address governance issues. John possesses strategic and business planning skills. He currently manages his own business, project management in the social service sector and undertakes professional supervision of social workers.

Robyn Corrigan

Robyn Corrigan, a registered social worker, has knowledge of isolated rural social work and reflects the interests of Maori and the NGO sector. She has held a number of senior leadership roles in ANZASW (President/Tumuaki 2001-2004) and successfully leads an Iwi social service provider as General Manager of Ngati Kahu Social & Health Services. Robyn has a strong commitment to registration and is a competent and confident advocate for Maori in the social services sector, the profession and consumers of social work services.

Paul Curry

Paul is currently the Chief Executive for the Families Commission. Previously he was the Director of Panamac Enterprises Ltd a company specialising in community development. Prior to this he was the General Manager of the Community Development Group and Lottery Grants Board for the Department of Internal Affairs. Paul has also been the Director of Advocacy for the Health and Disability Commissioner.

Shannon Pakura

Shannon Pakura is a registered social worker and has experience of State social work, a Maori view, and Wellington. Shannon has a deep knowledge and understanding of the issues facing the profession. She has managed at all levels in the State agency and currently exercises leadership of professionals for the biggest employer of social workers. Shannon has excellent skills in relation to Maori, Pacific people and other ethnic and cultural groups. She is also a compelling advocate for quality social work and competent care to children and families.

Yvonne Crichton-Hill

Yvonne Crichton-Hill is a registered social worker and brings experience in statutory social work practice, social work education and social work with Pacific communities. She works closely with her Pacific community and provides an important Pacific perspective for the Board. She is a social work educator and is committed to cross-cultural competence. A key strength is her management of relationships within Pacific communities and with tangata whenua.

Jan Duke

Professor Jan Duke has wide experience in academia, health, governance and management. Originally from Australia and currently from Wellington she provides a trans-Tasman perspective. Jan has substantial experience in industrial law and regulation of health professions, is very knowledgeable of the issues associated with establishing a registration board and has been Chief Executive of the Australian Nursing Council (1998 – 1999). She is a well regarded academic whose knowledge and experience spans both the health and social work sectors. Jan holds undergraduate and postgraduate degrees in social work, and is currently Professor and Head of the Graduate School Nursing, Midwifery and Health at Victoria University of Wellington.

Ian Calder

Ian Calder, of Wellington, an Officer of the New Zealand Order of Merit for services to children and the family, has considerable experience in and knowledge of the not-for-profit sector and of management and governance issues. He trained as a social worker and was a representative on the Social Work Training Council. He was the Chief Executive of Barnardos New Zealand from 1976-2003, and has held a range of positions representing the voluntary welfare sector as well as being a member of various government advisory boards. In 2005 he was appointed to the Board of the newly established Charities Commission.

Sonya Hunt

Sonya Hunt is a registered social worker who has wide experience in the social work profession and lives in Rotorua, Bay of Plenty. She has a thorough understanding of competency processes and is the Executive Chairperson of the ANZASW Board of Competency, a position she has held for the last five years. Sonya is currently engaged in private practice, and in addition to roles with ANZASW and SWRB undertakes project work in the social services and health sectors as well as a range of social work activities. Sonya has held social work leadership positions in the health sector and has held a number of governance roles and responsibilities. Sonya holds undergraduate and post graduate degrees in Social Work.

Board Meetings

The Board met on:

- 1st August 2005 (Teleconference)
- 26th August 2005
- 13th October 2005
- 2nd December 2005
- 26th January 2006
- 3rd March 2006
- 21st April 2006
- 16th June 2006

Board Committees

To facilitate its work the Board reviewed and maintained a number of sub-committees for the 2005/06 year. The committees and their membership were:

Finance, Audit and Reporting

Membership: I Calder (Chair), R Corrigan, P Curry, J Duke, S Pakura

Education and Practice Standards

Membership: E Beddoe (Chair), Y Crichton-Hill, B Curson, J Duke, J Dunlop

Promotion and Consultation

Membership: S Pakura (Chair), E Beddoe, P Curry, B Curson, S Hunt

Registration

Membership: J Dunlop (Chair), I Calder, R Corrigan, Y Crichton-Hill, S Hunt.

Maori and Different Ethnic and Cultural Groups

Membership: Y Crichton-Hill (Chair), R Corrigan, P Curry, S Pakura.

Board Member Remuneration

Board Member	Employer Reimbursed	Individual Reimbursed
Elizabeth Beddoe	6,309.43	720.00
Ian Calder	-	8,775.00
Robyn Corrigan	7,511.10	-
Yvonne Crichton-Hill	-	8,490.00
Paul Curry	-	1,275.00
Buster Curson	-	9,138.75
Jan Duke	-	4,927.50
John Dunlop	-	4,162.00
Sonya Hunt	-	8,332.50
Shannon Pakura	-	2,115.00



part 3

report
from the chair

Report from the Chairperson

*E nga mana, e nga reo, e nga karangaranga maha. Tena koutou katoa.
Ki nga mate huhua, haere koutou ki te kainga tuturu i roto i o koutou waka wairua.
Koutou te hunga mate ki a koutou, tatou te hunga ora ki a tatou.
No reira, tena koutou, tena koutou, tena tatou katoa.*

Registration activities have been the main focus of the Social Workers Registration Board over the past year and the commitment from the social work profession, social work professional bodies, social work educators and social work employers has resulted in the celebration of many registration milestones.

At 1 July 2005 over 2200 social workers had applied for registration but a large percentage of those applicants did not hold a recognised competence assessment certificate. The Social Workers Registration Act 2003 clearly requires every person who applies for registration to undertake a competence assessment and therefore it is central to the registration process. The SWRB had been assured by the recognised competence assessment providers that they were able to meet the demand for assessments and both providers actively worked to ensure that their competency assessment programmes were accessible to all including the Child, Youth and Family Service, the employer of the largest applicant pool to date.

As documented in the table below the number of registered social workers steadily increased at each meeting of the of the SWR Board with the June meeting resulting in over 1000 registered social workers.

Board Meeting	Registered Social Workers
July 2005	141
August 2005	231
October 2005	398
December 2005	508
January 2006	578
March 2006	710
April 2006	809
June 2006	1022

The Board has established the Social Workers Complaints and Disciplinary Tribunal and set guidelines for the appointment of Complaints Assessment Committees (CACs). The Social Workers Complaints and Disciplinary Tribunal has met twice as part of their training programme. To date only one complaint has been received and is currently being investigated by the Tribunal before considering whether to proceed.

The SWRB will continue to meet with its stakeholders: employers in the private and public sectors, education and training providers, members of the public that have a stake in the work of social workers and with the social workers themselves in order to promote both the work of the Board and the benefits of registration.

During 2005/06 the Board has successfully contributed to strengthening the profession by

- working towards raising the bar on qualifications and competence to practise;
- providing for a process to discipline social workers who breach acceptable standards of behaviour and care;
- implementing a Code of Conduct and providing associated guidelines;
- maintaining standards for all social workers to adhere to;
- vetting overseas qualified social workers for registration in New Zealand;
- advising the Minister of Social Development in respect of matters relating to the regulation of the social work profession;
- working with the Aotearoa New Zealand Association of Social Workers on matters relating to professional quality and regulation; and
- promoting and encouraging high standards of practice and professional conduct among registered social workers and employers of social workers.

The Board maintains a close working relationship with the Minister, his staff and the Ministry for Social Development and Employment to ensure that a strategic approach to the achievement of the Board's objectives is preserved.

The Board also acknowledges at this time the valued consultation and contributions made by many organisations including the Aotearoa New Zealand Association of Social Workers, the Aotearoa New Zealand Association of Social Work Educators, the Public Service Association, the National Union of Public Employees, the Health and Disability Commission, the New Zealand Vice Chancellors Committee, the Institutes of Technology and Polytechnic Quality, the District Health Boards and the District Health Boards Professional Leaders Council, the Department of Child, Youth and Family Services, Te Kaiawhina Ahumahi - the Social Sector Industry Training Organisation and Te Kahui Atawhai O Te Motu .

As Chair of the Social Workers Registration Board I would also like to thank the members of the Board for their contribution over the past year. I would especially like to acknowledge the two members of our Board, Elizabeth Beddoe and Shannon Pakura, who are stepping down as Board members at the conclusion of this financial year.

Shannon Pakura, an employee of the Child, Youth and Family Service (CYFS) was required to resign her position at the time CYFS merged with the Ministry of Social Development. The Ministry of Social Development is the department of State responsible for advising the Minister on the Board's performance and a person employed by such a department is disqualified from being appointed as a member of the Board.

Both Liz and Shannon must be acknowledged and thanked for their contribution to the inaugural Social Workers Registration Board, its development and strategic direction.



Robyn Corrigan
Chair

The Social Workers Registration Board



part 4

financial
statements

Statement of Responsibility for the Year Ended 30 June 2006

The Board and management of the Social Workers Registration Board accepts responsibility for the preparation of the annual Financial Statements and the judgements used in them.

The Board and management of the Social Workers Registration Board accepts responsibility for establishing and maintaining a system of internal control designed to provide reasonable assurance as to the integrity and reliability of financial and non-financial reporting.

In the opinion of the Board and management of the Social Workers Registration Board the annual Financial Statements for the year ended 30 June 2006, fairly reflect the financial position and operations of the Social Workers Registration Board.



Robyn Corrigan
Chairperson
27th October 2006



Sean McKinley
Chief Executive
27th October 2006

AUDIT REPORT

TO THE READERS OF
THE SOCIAL WORKERS REGISTRATION BOARD'S
FINANCIAL STATEMENTS
FOR THE YEAR ENDED 30 JUNE 2006

The Auditor-General is the auditor of the Social Workers Registration Board (the Board). The Auditor-General has appointed me, Clare Helm, using the staff and resources of Audit New Zealand, to carry out the audit of the financial statements of the Board, on his behalf, for the year ended 30 June 2006.

Unqualified opinion

In our opinion the financial statements of the Board on pages 24 to 40:

- comply with generally accepted accounting practice in New Zealand; and
- fairly reflect:
 - the Board's financial position as at 30 June 2006;
 - the results of its operations and cash flows for the year ended on that date; and
 - its service performance achievements measured against the performance targets adopted for the year ended on that date.

The audit was completed on 27 October 2006, and is the date at which our opinion is expressed.

The basis of our opinion is explained below. In addition, we outline the responsibilities of the Board and the Auditor, and explain our independence.

Basis of opinion

We carried out the audit in accordance with the Auditor-General's Auditing Standards, which incorporate the New Zealand Auditing Standards.

We planned and performed the audit to obtain all the information and explanations we considered necessary in order to obtain reasonable assurance that the financial statements did not have material misstatements, whether caused by fraud or error.

Material misstatements are differences or omissions of amounts and disclosures that would affect a reader's overall understanding of the financial statements. If we had found material misstatements that were not corrected, we would have referred to them in our opinion.

The audit involved performing procedures to test the information presented in the financial statements. We assessed the results of those procedures in forming our opinion.

Audit procedures generally include:

- determining whether significant financial and management controls are working and can be relied on to produce complete and accurate data;
- verifying samples of transactions and account balances;
- performing analyses to identify anomalies in the reported data;
- reviewing significant estimates and judgements made by the Board;
- confirming year-end balances;
- determining whether accounting policies are appropriate and consistently applied; and
- determining whether all financial statement disclosures are adequate.

We did not examine every transaction, nor do we guarantee complete accuracy of the financial statements.

We evaluated the overall adequacy of the presentation of information in the financial statements. We obtained all the information and explanations we required to support our opinion above.

Responsibilities of the Board and the Auditor

The Board is responsible for preparing financial statements in accordance with generally accepted accounting practice in New Zealand. Those financial statements must fairly reflect the financial position of the Board as at 30 June 2006. They must also fairly reflect the results of its operations and cash flows and service performance achievements for the year ended on that date. The Board's responsibilities arise from the Public Finance Act 1989, the Social Workers Registration Act 2003, and the Crown Entities Act 2004.

We are responsible for expressing an independent opinion on the financial statements and reporting that opinion to you. This responsibility arises from section 15 of the Public Audit Act 2001 and the Public Finance Act 1989.

Independence

When carrying out the audit we followed the independence requirements of the Auditor-General, which incorporate the independence requirements of the Institute of Chartered Accountants of New Zealand.

Other than the audit, we have no relationship with or interests in the Board.



Clare Helm
Audit New Zealand
On behalf of the Auditor-General
Wellington, New Zealand

Matters relating to the electronic presentation of the audited financial statements

This audit report relates to the financial statements of the Social Workers Registration Board for the year ended 30 June 2006 included on the Social Workers Registration Board's web site. The Social Workers Registration Board's Board is responsible for the maintenance and integrity of the Social Workers Registration Board's web site. We have not been engaged to report on the integrity of the Social Workers Registration Board's web site. We accept no responsibility for any changes that may have occurred to the financial statements since they were initially presented on the web site.

The audit report refers only to the financial statements named above. It does not provide an opinion on any other information which may have been hyperlinked to/from these financial statements. If readers of this report are concerned with the inherent risks arising from electronic data communication they should refer to the published hard copy of the audited financial statements and related audit report dated 27 October 2006 to confirm the information included in the audited financial statements presented on this web site.

Legislation in New Zealand governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

Statement of Service Performance 2005/2006

Introduction

The Social Workers Registration Board established three output classes.

Output One: Managing the registration of social workers

Description:

This output class covers the main responsibility of the SWRB – the registration of social workers and the issuing of practising certificates to qualifying registered social workers. The Social Workers Registration Act sets out the conditions covering the entitlement to registration of New Zealand and overseas qualified social workers, the procedures for registration, the criteria against which registration of a social worker will be considered and the categories of registration. The Act also covers the conditions relating to practising certificates.

Quantity and Timeliness

2004/05	Measure	Target	Actual
New measure for 2005/06	1500 to 2500 applications for registration and applications for annual practising certificates will have been processed.	By 30 June 2006.	2279 applications processed as at 30 June 2006.
New measure for 2005/06	200 to 500 new applications for registration and applications for annual practising certificates will have been received by 30 June 2006.	By 30 June 2006.	354 new applications as at 30 June 2006.
100% of completed applications processed	All completed applications will be processed within six months of receipt.	All completed applications will be processed within six months of receipt.	100% of completed applications processed within six months.
New measure for 2005/06	Information on Registered Social Workers will be able to be accessed by telephone during business hours.	From 1 July 2005.	Available from 1 July 2005.
New measure for 2005/06	The Register will be available on-line 24 hours per day.	From 1 October 2005	Available from 1 October 2005.

Quality

2004/05	Measure	Target	Actual
100%	All registration related processes will comply with the provisions of the Social Workers Registration Act and the Board's agreed policies and procedures.	100%	100%
Satisfactory	The Board will during the year establish procedures to regularly assess the satisfaction of social workers and their employers in the process of registration and in issuing annual practising certificates.	Regular meetings with social workers and their employers to discuss processes.	Satisfactory - Board members and/or the Chief Executive attended meetings with social work staff and employers

Output Two:

Considering complaints against registered social workers

Description:

This output involves the Board establishing and providing administrative support to an independent Social Workers Complaints and Disciplinary Tribunal which can appoint Complaint Assessment Committees to hear complaints against registered social workers.

Social Workers Complaints and Disciplinary Tribunal

Discipline for the social work profession is covered by Part 4 of the Social Workers Registration Act 2003. The Complaints and Disciplinary Tribunal, a Tribunal appointed by the Board, is a key component of the disciplinary process. Complaints Assessment Committees are convened to hear a particular complaint or a class of complaints the membership of which is appointed by the Chair of the Tribunal.

The Board established a Disciplinary Tribunal that meets the requirements of the Social Workers Registration Act 2003 as set out below:

- a Chairperson and 1 or more deputy chairpersons;
- at least 1 barrister or solicitor of the High Court of not less than 7 years' practice;
- 5 other members.

The SWRB Complaints and Disciplinary Tribunal is required to also have

- at least 1 lay person appointed by the Minister.

The Social Workers Registration Board Complaints and Disciplinary Tribunal is comprised of the following members:

Chairperson:

Mr. Vaughan Milner

Chief Executive, Presbyterian Support Upper South Island

Tribunal Members:

Mr. Tavake Afeaki

Barrister and Solicitor – Auckland

Ms. Arihia Bennett

Senior Social Work Trainer – Christchurch

Ms. Stephanie Dyhrberg

Barrister and Solicitor – Wellington

Ms. Vicki Hirst

Social Worker - Auckland

Ms. Tureiti Moxon

Health Service Manager – Hamilton

Ms. Jackie Pearse

Barrister and Solicitor / Health Professional - Waiouru

Ms. Jenny Prentice

Social Worker – Wairarapa

Rev. Feiloaiga Taule'ale'ausumai

Presbyterian Minister – Auckland

Code of Conduct

The Social Workers Registration Board is required by the Act to issue and maintain a code of conduct covering the minimum standards of integrity and conduct that:

- (a) are to apply to registered social workers: and;
- (b) should apply generally in the social work profession.

The significance of the Code is multi-dimensional.

It:

- provides a benchmark against which the need for disciplinary action against a registered social worker, including deregistration, can be assessed;
- will, by setting standards for social work, assist and promote the development of professionalism in the social work sector;
- aids the public's perception that the profession is actively pursuing policies and conduct that will contribute to public safety.

During 2005/2006 the Board

- commissioned the development of draft Guidelines for the Code of Conduct;
- deseminated the draft Guidelines for the Code of Conduct and consulted with the wider profession, employers, the Health and Disability Commission and other key stakeholders.
- agreed on the final version of the Guidelines for the Code of Conduct and made this available to all registered social workers and the public both in hard copy and via the Board's website.

Quantity and Timeliness

2004/05	Measure	Target	Actual
New measure for 2005/06	Social Workers Complaints and Disciplinary Tribunal will be established and operational.	By 1 July 2005.	Operational as at 1 July 2005.
Satisfactory	Administrative support will be provided to enable the Tribunal to deal with all complaints against registered social workers. It is expected that between 1 and 15 complaints will be dealt with by 30 June 2006.	Satisfactory	Satisfactory - no complaints were received during the 30 June 2006 financial year
No complaints received	The Registrar shall notify the Tribunal of complaints within 15 working days.	Within 15 working days.	100% Satisfactory. no complaints were received during the 30 June 2006 financial year

Quality

2004/05	Measure	Target	Actual
100% Satisfactory	All services provided will comply with the provisions of the Social Workers Registration Act 2003, and be to the satisfaction of the Social Workers Complaints and Disciplinary Tribunal.	100% Satisfactory	100% Satisfactory

Output Three:

Promoting the benefits of registration of social workers and enhancing the professionalism of social workers

Description:

This output recognises that the registration of social workers is voluntary. The Board, therefore, will promote the benefits of registration to social workers and their employers in order that registered social workers can be accountable to the Tribunal for their practice.

Quantity and Timeliness

2004/05	Measure	Target	Actual
New measure for 2005/06	To consult or meet with the major employer groups (government and non government).	At least once during the year.	Consultation/Meetings: The SWRB consulted employer groups during the year on issues such as the Guidelines for the Code of Conduct. The SWRB also hosted or attended meetings with both government and not government employers during the year.
New measure for 2005/06	To consult or meet with tertiary education providers.	At least once during the year.	Meetings: 28 July 2005 13 October 2005 24 March 2006
New measure for 2005/06	To consult or meet with social work education programme assessment organisations.	At least once during the year.	Meetings: 28 July 2005 13 October 2005 24 March 2006
New measure for 2005/06	To develop and implement a programme to ensure registered social workers enhance and maintain standards of professionalism.	Develop criteria for Annual Practising Certificates including guidelines for maintaining a Continuing Professional Logbook.	100% Satisfactory - guidelines were developed and available on the SWRB website and in hard copy.

New measure for 2005/06	To develop and implement a registration information programme to encourage social workers to register.	Develop and update registration information in hard copy and online. Provide information at workshops and conferences.	100% Satisfactory - registration information was developed, updated and made available on the SWRB website, in hard copy and at workshops and conferences.
New measure for 2005/06	To consult or meet with the major social work professional bodies and representative organisations.	At least once during the year.	Meetings: 9 November 2005 27 April 2006

Quality

2004/05	Measure	Target	Actual
Satisfactory	The Board will during the year establish targets for registration and for annual practising certificates.	550 New Zealand Applications for registration. 110 Overseas Applications for registration. 1251 Annual practising certificates.	321 New Zealand Applications for registration. 33 Overseas 1022 Annual practising certificates.
New measure for 2005/06	The Board will during the year establish minimum social work education and criteria in consultation with the profession, employers and education providers.	1 January 2006 minimum qualification (Bachelor Degree) for registration set for social workers undertaking their first recognised social work qualification.	Programme Recognition Framework developed and minimum qualifications identified.

Statement of Financial Performance For The Year Ended 30 June 2006

2006 Budget \$		NOTE	2006 Actual \$	2005 Actual \$
	INCOME			
267,000	Government Grant	2	466,667	400,000
713,920	Application & registration fees	14	306,645	406,208
100,080	Discipline levy		63,330	94,950
-	Other Income		20,720	-
9,000	Interest Received		20,413	11,642
<u>1,090,000</u>	Total Income		<u>877,775</u>	<u>912,800</u>
	EXPENDITURE			
	Boards & Committees			
94,400	Board		72,292	154,452
49,200	Finance, Administration, Projects and Conferences		77,789	56,143
24,000	Complaints		-	-
49,200	Tribunal		15,956	22,279
<u>216,800</u>			<u>166,037</u>	<u>232,874</u>
	Secretariat			
327,600	Personnel		324,160	296,930
39,000	Occupancy		37,124	37,690
29,000	Publications		24,967	19,288
180,000	Overheads		166,916	174,946
<u>575,600</u>			<u>553,167</u>	<u>528,854</u>
<u>792,400</u>	Total Expenditure		<u>719,204</u>	<u>761,728</u>
<u>\$297,600</u>	Surplus	3 & 7	<u>\$ 158,571</u>	<u>\$ 151,072</u>

The accompanying accounting policies and notes form part of these financial statements.

Statement of Movements in Equity For The Year Ended 30 June 2006

2006 Budget \$	NOTE	2006 Actual \$	2005 Actual \$
240,000	Total Crown Equity at the start of the year.	215,077	64,005
297,600	Operating surplus for the period	158,571	151,072
<u>297,600</u>	Total recognised revenue and expenses for the period	<u>158,571</u>	<u>151,072</u>
<u><u>\$537,600</u></u>	Total Crown Equity at the end of the year. 4	<u><u>\$ 373,648</u></u>	<u><u>\$ 215,077</u></u>

The accompanying accounting policies and notes form part of these financial statements.

Statement of Financial Position As at 30 June 2006

2006 Budget \$		NOTE	2006 Actual \$	2005 Actual \$
	CURRENT ASSETS			
522,000	Cash, Bank & Bank deposits		564,073	183,176
-	Accounts receivable		23,477	13,612
-	GST refund		-	2,477
-	Prepayments		7,198	2,908
<u>522,000</u>			<u>594,748</u>	<u>202,173</u>
<u>40,600</u>	FIXED ASSETS	5	<u>96,115</u>	<u>80,054</u>
562,600	TOTAL ASSETS		690,863	282,227
	Less			
	CURRENT LIABILITIES			
25,000	Accounts Payable & accruals	6	86,310	67,150
-	GST payable		26,105	-
-	Income received in advance		204,800	-
<u>25,000</u>	TOTAL LIABILITIES		<u>317,215</u>	<u>67,150</u>
<u>\$ 537,600</u>	NET ASSETS EMPLOYED		<u>\$ 373,648</u>	<u>\$ 215,077</u>
	CROWN EQUITY			
240,000	Accumulated surplus		215,077	64,005
297,600	Current Year Surplus		158,571	151,072
<u>\$ 537,600</u>	TOTAL CROWN EQUITY	4	<u>\$ 373,648</u>	<u>\$ 215,077</u>

The accompanying accounting policies and notes form part of these financial statements.

Statement of Cash Flows For The Year Ended 30 June 2006

2006 Budget \$	NOTE	2006 Actual \$	2005 Actual \$
	<i>Cash flows from Operating Activities</i>		
	Cash was provided from:		
814,000	Registration fees, levies & APC fees	564,987	501,158
267,000	Government Grant & Other Sources	466,667	400,000
-	Expense recoveries	20,720	33,385
9,000	Interest	20,413	11,642
1,090,000		1,072,787	946,185
	Cash was applied to:		
(751,176)	Payments to Suppliers, Board and Employees	(633,133)	(745,584)
338,824	Net Cash Flows from Operating Activities 7	439,654	200,601
	<i>Cash flows from Investing Activities</i>		
	Cash was provided from:		
-	Sale of Fixed Assets	-	-
-		-	-
	Cash was applied to:		
-	Purchase of Fixed Assets	(58,757)	(30,854)
-	Net Cash Flows from Investing Activities	(58,757)	(30,854)
338,824	Net Increase/(Decrease) in Cash Held	380,897	169,747
183,176	Add/(Deduct) Opening Cash Brought Forward	183,176	13,429
\$522,000	Ending Cash Carried Forward	\$564,073	\$183,176
	Cash Balances		
22,000	Current Account	296,141	30,124
500,000	Investment Accounts	267,932	153,052
\$ 522,000	Ending Cash Carried Forward	\$564,073	\$183,176

The accompanying accounting policies and notes form part of these financial statements.

Statement of Accounting Policies

1. STATEMENT OF ACCOUNTING POLICIES

REPORTING ENTITY

The Social Workers Registration Board is a body corporate established by the Social Workers Registration Act 2003. The Board is a Crown entity under the Crown Entity Act 2004. The financial statements comply with the requirements of the Crown Entity Act 2004 and the Public Finance Act 1989.

MEASUREMENT BASE

The financial statements have been prepared in accordance with generally accepted accounting practice in New Zealand and have been prepared on the basis of historical cost.

SPECIFIC ACCOUNTING POLICIES

The following specific accounting policies which materially affect the measurement of financial position have been applied:

Revenue

Revenue is recognised on an accrual basis apart from fees payable on application for registration which is recognised on receipt.

Cost of service statements

The Statement of Objectives and Service Performance reports the net cost of service for outputs of the Board.

Costs are allocated using the cost allocation described below

Direct costs: Costs directly attributed to an output class are allocated to that output class.

Indirect Costs: Costs that cannot be directly attributed to an output class. These costs include administration, occupancy and personnel costs not directly attributed. Indirect costs are allocated on the basis of time spent on each output.

Accounts Receivable

Accounts receivable are stated at their estimated net realisable value.

Fixed Assets

Fixed Assets are initially stated at cost and depreciated as outlined below. Initial cost includes the purchase consideration plus any costs directly attributable to bringing the asset to the location and condition required for its intended use.

Fixed assets are written down immediately if any impairment in the value of the asset causes its recoverable amount to fall below its carrying value.

Statement of Accounting Policies (Continued)

Depreciation

The Board has two classes of fixed assets which have been depreciated as follows:

Office Equipment, furniture & fittings	18.0% - 39.6% DV
Computer equipment & software	48% DV and 20% SL

Depreciation is provided on fixed assets at rates calculated to allocate the assets' cost over their estimated useful lives.

Investments

Investments are stated at the lower of cost and net realisable value.

Goods and Services Tax (GST)

The financial statements are prepared on a GST exclusive basis, with the exception of accounts receivable and accounts payable which are stated with GST included.

Employee entitlements

Provision is made in respect of the Board's liability for annual leave at balance date. Annual leave has been calculated on an actual entitlement basis at current rates of pay.

Operating leases

Operating lease payments, where the lessor effectively retains substantially all the risks and benefits of ownership of the leased items, are charged as expenses in the periods in which they are incurred.

Financial Instruments

There are no financial instruments that expose the Board to foreign exchange risk or off balance sheet risks.

All financial instruments including bank accounts, short term investments, accounts receivable and accounts payable are disclosed at their fair value. The fair value of short term investments is the lower of cost or market value. Revenue and expenses in relation to the financial instruments are recognised in the Statement of Financial Performance.

Income Tax

The Authority is exempt from income tax as it is classified as a public authority in terms of the Income Tax Act 2004.

Budget Figures

Budget figures are those included in the Statement of Intent for the year ended 30 June 2006.

Budgets have been prepared in accordance with generally accepted accounting practice and are consistent with the accounting policies adopted by the Board for the preparation of the financial statements.

Statement of Accounting Policies (Continued)

1. CHANGES IN ACCOUNTING POLICIES

There have been no changes in accounting policies. All policies have been applied on a basis consistent with those of the previous year.

2. GOVERNMENT GRANT

Funding received from the Ministry of Social Development to meet the costs of setup and operational costs until the Board becomes self funding.

3. SURPLUS

	2006	2005
	\$	\$
Surplus for the period is stated after allowing for:		
Audit fees	21,000	9,960
Board & Tribunal members fees	67,529	134,884
Depreciation - Office furniture & equipment	11,377	14,360
- Computer equipment & software	15,194	14,378

4. EQUITY

	2006	2005
	\$	\$
Operating Reserve		
Operating Reserve at the start of the year	142,406	64,005
Operating Surplus for the year	158,571	151,072
Transfers to Discipline reserve	47,374	72,671
Total Operating Reserve at the end of the year	253,603	142,406
Discipline Reserve		
Discipline Reserve at the start of the year	72,671	-
Transfers from Operating Reserve	47,374	72,671
Total Discipline Reserve at the end of the year	120,045	72,671

5. FIXED ASSETS

	COST	ACCUM.	BOOK
	\$	DEPN	VALUE
	\$	\$	\$
AS AT 30 JUNE 2006			
Office furniture & equipment	60,796	27,439	33,357
Computer equipment & software	88,789	26,031	62,758
	149,585	53,470	96,115
AS AT 30 JUNE 2005			
Office furniture & equipment	58,392	16,062	42,330
Computer equipment & software	54,935	17,211	37,724
	113,327	33,273	80,054

6.	ACCOUNTS PAYABLE	2006	2005
		\$	\$
	Trade Creditors & Accruals	78,066	61,821
	Employee Entitlements	8,244	5,329
		<u>86,310</u>	<u>67,150</u>
7.	RECONCILIATION OF CASH FLOW WITH REPORTED OPERATING SURPLUS	2006	2005
		\$	\$
	Reported surplus	158,571	151,072
	Add non cash items:		
	Depreciation	26,571	28,738
	Loss on disposal	16,125	-
		<u>201,267</u>	<u>179,810</u>
	Movements in working capital items		
	(Increase)/Decrease in receivables	(11,678)	4,180
	Increase in operating accounts payable	45,265	16,611
	Increase in income received in advance	204,800	-
	Net Cash Flows from Operating Activities	<u>439,654</u>	<u>200,601</u>
8.	EMPLOYEE REMUNERATION	Number of Employees	
		2006	2005
	Remuneration falling in the following bands		
	\$100,000 and \$110,000	-	1
	\$110,000 and \$120,000	1	-
9.	COMMITMENTS	2006	2005
		\$	\$
	Operating commitments		
	Contractual commitments for operating leases of premises and and provision of IT maintenance services.		
	Not Later than one year	46,225	29,070
	Later than one year	37,017	-
	Total Commitments	<u>83,242</u>	<u>29,070</u>
	Capital Commitments		
	The Board is committed to a one half share of the cost of alterations and refurbishment of sub-leased premises.	18,647	-
10.	CONTINGENT LIABILITIES		
	There are no contingent liabilities at year end. (2005 Nil)		

11. FINANCIAL INSTRUMENTS

Credit Risk

Financial instruments which potentially expose the Board to credit risk consist of bank balances, call deposits and accounts receivable.

Concentration of Credit Risk

There are no significant concentrations of credit risk.

Currency & Interest Rate Risk

There is no exposure to currency risk and financial instruments are not interest rate sensitive.

12. RELATED PARTY TRANSACTIONS

The Board is a Crown entity. Revenue derived from the Crown is separately disclosed in the Statement of Financial Performance (refer note 2).

13. POST BALANCE DATE EVENTS

There were no post balance date events that have a material impact on the results for the year or the financial position at balance date.

14. SIGNIFICANT VARIANCE EXPLANATION

The variances in Application & registration fees revenue for 30 June 2006 against the budgeted and prior year actual, was primarily due to less applications for registrations being received than anticipated and compared to last year.

Statement of Objectives and Service Performance (Financial) For the year ended 30 June 2006

		2006 Budget \$	2005 Actual \$
OUTPUT 1:			
Managing the registration of Social Workers			
Resources employed			
Revenue	Crown revenue	194,910	394,667
	Third party revenue	713,920	306,645
	Other (Including Interest)	9,000	41,133
Total Revenue		917,830	742,445
Expenditure	Total Expenditure	575,600	597,121
	Net Surplus(Deficit)	\$ 342,230	\$ 145,324
OUTPUT 2:			
Considering complaints against registered Social Workers			
Resources employed			
Revenue	Crown revenue	24,030	24,000
	Third party revenue	100,080	63,330
	Other (Including Interest)	-	-
Total Revenue		124,110	87,330
Expenditure	Total Expenditure	73,200	43,013
	Net Surplus(Deficit)	\$ 50,910	\$ 44,317
OUTPUT 3:			
Promoting the benefits of registration and enhancing the professionalism of Social Workers			
Resources employed			
Revenue	Crown revenue	48,060	48,000
	Third party revenue	-	-
	Other (Including Interest)	-	-
Total Revenue		48,060	48,000
Expenditure	Total Expenditure	143,600	79,070
	Net Surplus(Deficit)	\$ (95,540)	\$ (31,070)